JC03 Rec'd PCT/PTO 2 7 SEP 2009

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 1716877

CONCERNING A SUBI	MISSION UNDER 35 L	U.S. APPLICATION No. (4) kindwn/see/37 CFR 1.5)								
INTERNATIONAL APPLICATION NO. PCT/JP2004/004276	INTERNATIONAL March 26, 2004		PRIORITY DATE CLAIMED March 28, 2003							
TITLE OF INVENTION	OF INVENTION									
Method and Device for Controllin APPLICANT(S) FOR DO/EO/US	Method and Device for Controlling Bias of Optical Modulator									
Yoshihiro HASHIMOTO, et al.										
pplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a <b>FIRST</b> submission o	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.									
2. L This is a SECOND or SUBSE	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. The US has been elected (Ar	The US has been elected (Article 31).									
5. A copy of the International A	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. 🚺 is attached hereto	a.  is attached hereto (required only if not communicated by the International Bureau).									
	b.									
[7]	71									
	a.  is attached hereto.									
[7]	b. L.J. has been previously submitted under 35 U.S.C. 154(d)(4).									
	, and a second of the second o									
F-7										
c. have not been m										
d. 🚺 have not been m										
8. An English language transl	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or declaration of the	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s) or information included:										
11 An Information Disclosure S	statement under 37 CFR 1.97 and	d 1.98.								
	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. A preliminary amendment.										
<u> </u>	An Application Data Sheet under 37 CFR 1.76.									
	A substitute specification.									
	A power of attorney and/or change of address letter.  A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.									
	A computer-readable form of the sequence issing in accordance with PCT Rule 13ter.2 and 37 GPR 1.021-1.023.  A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20 Other items or information:	Published PCT Application									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

41

## JC12 Rec'd PCT/PTC 27 SEP 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER				
10/550//0 PCT/JP2004/004276			1716877					
The following fees have been submitted					CALCULATIONS	PTO USE ONLY		
21. 🕢 Basic national fee\$300					\$ 300.00			
22.  Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00			
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00			
TOTAL OF 21, 22 and 23 =					\$ 900.00			
sequence listi	for specification ng or computer ion for each addit							
Total Sheets			additional 50 or fraction <b>p</b> to a whole number)	RATE				
28 - 100 =	0 /50 =			x \$250	\$			
Surcharge of \$130 claimed priority date		\$ 130.00						
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		25 - 20 =	5	× \$50	\$ 250.00			
Independent claim	s	4 -3=	1	× \$200	\$ 200.00			
MULTIPLE DEPEN	DENT CLAIM(S	S) (if applicable)		+ \$360	\$			
	····		TOTAL OF ABOVE	CALCULATIONS =	\$ 1480.00			
Applicant clain	ns small entity s							
SUBTOTAL =					\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$			
			TOTAL	NATIONAL FEE =	\$ 1480.00			
Fee for recording to		\$						
TOTAL FEES ENCLOSED =					\$ 1480.00			
					Amount to be refunded:	\$		
					Amount to be charged:	\$		
a. A check in the amount of \$ 1480.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0305. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Robert J. Schne								
Chapman and Cutler LLP 111 West Monroe Street, Suite 1600 Robert J. Sch					neider			
Chicago, Illinois								
(312) 845-3919 27,383								
		ON NUMBER						

10/550770 JC12 Rec'd PCT/PTC 27 SEP 2005

## **EXPRESS MAIL CERTIFICATE**

Express Mail Label Number:

EU 250 156 709 US

Date of Express Mail Deposit:

September 27, 2005

Attorney Docket Number:

1716877

Based on Application:

PCT/JP2004/004276

I hereby certify that the attached documents, including the above-referenced Patent Application (HASHIMOTO, et al., inventors), with Transmittal Letter, application data sheet, translation, drawings, ISR, preliminary amendment, fee check, and related PCT documents, together with this Express Mail Certificate, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and are addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Jane S. Berman, Registration No. 43,494

<u>September 27, 2005</u>

Date of Signature